

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Herman Koch et al.

Title: FABRICATION OF ZINC OXIDE FILMS ON NON-PLANAR SUBSTRATES AND THE USE THEREOF

Appl. No.: 09/403,505

Filing Date: February 1, 2000

Examiner: B. Talbot

Art Unit: 1762

PRELIMINARY AMENDMENT

Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Sir:

Prior to examination of the present application, Applicant respectfully requests that the above-identified prior application be amended as follows:

**In the Claims:**

In accordance with 37 C.F.R. §1.121, please cancel claims 1 and 16 without prejudice or disclaimer, and substitute for claims 2-5 and 7-8 the following rewritten versions of the same claims, as amended. The changes are shown explicitly in the attached "Version with Markings to Show Changes Made."

2. (Amended) A method as claimed in claim 17, wherein the coating has piezo-electric modulation characteristics.

3. (Amended) A method as claimed in claim 17, wherein the coating has electro-optic modulation characteristics.